



Taking care of business

A summary of the work of ASWB's Board of Directors at its meetings in San Diego, California, November 17 and November 19, 2016

The ASWB Board of Directors met in person on Thursday, November 17, and Saturday, November 19, at the US Grant Hotel in San Diego, California, in sessions before and after the 2016 Annual Meeting of the Delegate Assembly. Here is a recap of the activities of the Board at those meetings.

From the November 17 meeting

Treasurer's report: Board members reviewed financial statements through September 30, 2016, and received an update on investments. Treasurer Mel Harrington discussed ASWB's investments, noting a realized loss due to the sale of underperforming funds. Staff provided a report from the investment firm showing that ASWB's portfolio of investments is outperforming its benchmarks.

Strategic discussions: Board members participated in strategic discussions about the following subjects—

Lens of Mobility. The Board received a report from ASWB's CEO about the importance of decision-making processes by staff and Board being filtered through the "Lens of Mobility," so that the strategic objective of practice mobility remains a high priority.

Use of the Executive Committee. The Board reviewed a white

paper on the use of an executive committee and revisited a discussion from a previous Board meeting of whether an executive committee is needed to conduct specific elements of Board business such as the evaluation of the CEO. The Board voted to table the discussion for a subsequent Board meeting.

CEO serving on the Board as a nonvoting member. The Board reviewed a white paper outlining best practices with respect to the CEO serving as an ex officio (nonvoting) member of the Board and a recommendation to forward the proposal to the 2017 Bylaws and Resolutions Committee for consideration. A number of Board members shared their own research on the subject. The Board voted not to approve the recommendation.

Follow-up from the August 5, 2016, Board retreat. The Board received a report from an ad hoc committee comprising three Board members to summarize outcomes of the Board Retreat with recommendations for actions to be considered for implementation. After discussion, the Board accepted the report subject to a minor editorial adjustment. The Board also received a report from two other Board members providing a description of ASWB's Board culture, its values, and norms as outcomes from the Board Retreat.

Review and adjustment of strategy:

Review of 2014-2018 Strategic Plan. The Board evaluated progress toward strategic objectives as part of a midcourse review.

Board governance evaluation. The Board evaluated progress toward its 2016 Board governance goals.

Preparation for Delegate Assembly. The Board received a report from staff on the status of elections, as one candidate had to withdraw due to pending jurisdictional legislation related to regulatory board composition. The Board reviewed the Delegate Assembly logistics and activities.

Executive session: The Board entered executive session to discuss personnel matters of the association.

Policy discussion: The Board received a white paper about succession planning and accepted the recommendation to appoint a task force to work with the CEO to develop a succession planning policy in 2017.

Building update: The Board received a report from staff on the status of the headquarters building project.

Approval of Minutes and confirmation of decisions made in email meetings: The Board approved the amended minutes from the January 28, 2016, Board meeting and approved the November 9, 2016, Board minutes and confirmed the decisions made in email meetings conducted on September 2, October 12, October 19, and October 31, 2016.

Committee/Task Force/Meetings reports: The Board received a report from staff about the work of the Practice Analysis Task Force and reports from Board members attending the Federation of Associations of Regulatory Boards (FARB) Regulatory Law Seminar and the Council on Licensure, Enforcement, and Regulation (CLEAR) Annual Education Conference. The Board also previewed the Mobility Task Force report to be presented at the Annual Meeting of the Delegate Assembly.

Consent agenda: Reports approved via consent agenda included: Leadership reports from the CEO and the Board president, the Chief Operating Officer's report, and the Foundation report.

From the November 19 meeting

Introductions of Board members: Board members introduced themselves through a game of association with other Board members.

Teamwork and leadership: The Board president and the CEO reviewed ASWB's mission and values with the members of the Board and discussed the partnership between Board members and staff in carrying out the leadership duties of governance and operations.

General legal information: Board members received information about fiduciary responsibilities and their duties as Board members from ASWB's legal counsel.

Board meetings: The Board received operational information from staff about how Board meetings are conducted, accessing materials, participation on the email list serve, and email voting procedures.

Orientation of new Board members: New Board members were given information about materials that they will receive in preparation for the January 2017 Board meeting and their governance responsibilities.

Review of Exam Committee appointments: The Board approved appointments of 2017 Exam Committee members proposed by the president.